



REPORT of DIRECTOR OF RESOURCES

**to
AUDIT COMMITTEE
22 JUNE 2017**

PERFORMANCE AGAINST 2016 / 17 INTERNAL AUDIT PLAN

1. PURPOSE OF THE REPORT

- 1.1 To report progress to date with regard to work completed and any deviances to, or slippage, on the Internal Audit Plan 2016 / 17.

2. RECOMMENDATION

That the progress against the 2016 / 17 Internal Audit Plan is noted

3. SUMMARY OF KEY ISSUES

- 3.1 Details of the progress to date against the 2016 / 17 Internal Audit Plan are attached at **APPENDIX 1** to this report.
- 3.2 The following work has been completed since the last report to the Audit Committee on 30 March 2017:
- Channel Shift and Customer Strategy;
 - Financial Systems;
 - Investment and Investment Income
 - Inventory and Stock.
- 3.3 It was agreed that where limited assurance level was obtained regarding the design and/or operational effectiveness of the internal control, the full audit report produced by BDO LLP will be reported to the Audit Committee. There are no such items to report in this period.

4. CONCLUSIONS

- 4.1 This report updates Audit Committee Members the progress against the 2016 / 17 Internal Audit Plan.

5. IMPACT ON CORPORATE GOALS

- 5.1 The report links to the Maldon District Council goal of ‘Delivering good quality, cost effective and valued services’.

6. IMPLICATIONS

- (i) **Impact on Customers** – None.
- (ii) **Impact on Equalities** – None.
- (iii) **Impact on Risk** – None.
- (iv) **Impact on Resources (financial)** – None.
- (v) **Impact on Resources (human)** – None.
- (vi) **Impact on the Environment** – None.

Background papers: None.

Enquiries to: Emma Foy, Director of Resources, (Tel: 01621 875762) or Andrew Barnes, Audit Manager (BDO LLP)